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on July 17, 2007

PATENT  
Attorney Docket No.: 020375-003600US

TOWNSEND and TOWNSEND and CREW LLP

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Mark Thompson

Application No.: 09/971,726

Filed: October 4, 2001

For: METHODS AND SYSTEMS FOR  
PROCESSING FINANCIAL  
INSTRUMENTS

Customer No.: 20350

Confirmation No. 3054

Examiner: Ali, Mohamed Hatem

Technology Center/Art Unit: 3609

AMENDMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Office Action mailed April 18, 2007, please enter the following  
amendments and remarks:

**Amendments to the Claims** are reflected in the listing of claims that begins on page 2 of this  
paper.

**Remarks** begin on page 6 of this paper.